

Docket No.: 56937-019

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Is re Application of

: Customer Number: 20277

Shingo KAGE, et al.

: Confirmation Number: 6525

Serial No.: 09/719,226

: Group Art Unit: 2652

: Allowed: August 06, 2004

Filed: December 11, 2000

: Examiner: TIANJIE CHEN

For: DISK PLAYER

REQUEST FOR ACKNOWLEDGEMENT OF THE CITED ART

Mail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

COPY

Sir:

We are in receipt of the Notice of Allowance dated August 06, 2004.

In reviewing the file, it is noted that we have not received acknowledgement of the Information Disclosure Statement and cited art filed June 9, 2003. Enclosed are copies of the Information Disclosure Statement, PTO-1449 and stamped return postcard acknowledgement.

Applicant hereby respectfully requests that:

- (1) the appropriately acknowledged PTO-1449 be furnished to Applicant; and
- (2) the record be clarified to confirm that the cited art has been considered and made of record.

Respectfully submitted,

MCDERMOTT WILL & EMERY LLP

  
Ramyar M. Farid  
Registration No. 46,692

600 13<sup>th</sup> Street, N.W.  
Washington, DC 20005-3096  
202.756.8000 RMF:tlg  
Facsimile: 202.756.8087  
Date: August 27, 2004

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**



In re Application of :  
Shingo KAGE, et al. :  
Serial No.: 09/719,226 : Group Art Unit: 2652  
Filed: December 11, 2000 : Examiner: T. CHEN  
For: DISC PLAYER :

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached form PTO-1449. It is respectfully requested that the references be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.

**CERTIFICATION PARAGRAPH**

The undersigned certifies that, to the best of his knowledge, each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement as described in 37 CFR 1.97(e)(1).

Each non-English language reference was first cited in a corresponding foreign application search report or office action and its relevance discussed therein. A copy of the foreign search report or office action, together with an English language version thereof, is attached for the Examiner's information. Please note that Japanese Patent Application No. 08-045156 cited in the enclosed Search Report was submitted to the USPTO with an Information Disclosure Statement filed March 6, 2001.

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

MCDERMOTT, WILL & EMERY

  
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Date: June 9, 2003

# INFORMATION DISCLOSURE CITATION IN AN APPLICATION

ATTY. DOCKET NO.  
56937-019

SERIAL NO.  
09/719,226

APPLICANT  
Shingo KAGE, et al.

FILING DATE  
December 11, 2000

GROUP  
2652

(PTO-1449)

## U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US			
		US			
		US			
		US			
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## FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	Foreign Patent Document Country Codes-Number -Kind Codes (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Figures Appear	Translation	
						Yes	No
	A	EP 1 087 390	03/28/2001	Mitsubishi Electric Corp			

## OTHER ARTS, LITERATURE, AND PUBLICATIONS

EXAMINER'S INITIALS	CITE NO.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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Applicant: <u>Shingo KAGE, et al.</u>		Docket No. <u>56937-019</u>	
Title: <u>DISC PLAYER</u>			
Date Sent: <u>6/9/2003</u>	<input checked="" type="checkbox"/> Hand Carried	<input type="checkbox"/> Fax	<input type="checkbox"/> Electronic
<input type="checkbox"/> Transmittal Letter	<input type="checkbox"/> Utility	<input type="checkbox"/> Design	<input type="checkbox"/> Cont.
<input type="checkbox"/> New Patent App	<input type="checkbox"/> Design	<input type="checkbox"/> Cont.	<input type="checkbox"/> CIP
<input type="checkbox"/> Other	<input type="checkbox"/> Div.	<input type="checkbox"/> PCT	<input type="checkbox"/> CPA
pages of Specification	<input type="checkbox"/> RCE	<input type="checkbox"/> Prov	
pages of Claims	<input type="checkbox"/> Letter submitting _____ pages of drawings		
pages of Abstract	<input type="checkbox"/> Req. for Approval of Drawing Amendments		
pages of Formal/Informal Drawings	<input type="checkbox"/> Req. for Oral Hearing		
<input type="checkbox"/> Small Entity	<input type="checkbox"/> Not. of Appeal	<input type="checkbox"/> Appeal Brief	<input type="checkbox"/> Reply Brief
<input type="checkbox"/> Declaration/Power of Attorney	<input type="checkbox"/> Rule 312 Amendment/Letter		
<input type="checkbox"/> Recordation of Assignment/Security Agreement	<input type="checkbox"/> Req. for Acknowledgement of Cited Art		
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Issue Fee		
<u>1</u> Form PTO 1449	<input type="checkbox"/> Publication Fee		
<u>1</u> copies of cited references	<input type="checkbox"/> Req. for Certificate of Correction		
<input type="checkbox"/> Preliminary Amendment	<input type="checkbox"/> Maintenance Fee for _____ years after grant		
<input type="checkbox"/> Response to Missing Parts Notice	<input type="checkbox"/> Fee Address Indication Form		
<input type="checkbox"/> Resp. to Notice to Correct App. Papers	<input type="checkbox"/> Terminal Disclaimer		
<input type="checkbox"/> Certified Copy of Priority Doc.	<input type="checkbox"/> Petition to Commissioner		
<input type="checkbox"/> Claim for Convention Priority	<input type="checkbox"/> Status Inquiry		
<input type="checkbox"/> Response/Amendment to Office Action of	<input checked="" type="checkbox"/> Other European Search Report		
Request for _____ month Extension of Time			
Check for \$ <input checked="" type="checkbox"/> Charge Deposit Acct. 5004173	0	Any Int. MEF	Tror. # 3328
CMS Descrpt: _____		Secy. or P.L.	M.L. White
THE PATENT AND TRADEMARK OFFICE DATE STAMPED HEREON IS ACKNOWLEDGEMENT THAT THE ITEMS CHECKED ABOVE WERE RECEIVED BY THE PTO ON THE DATE STAMPED.			





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Applicant: Shingo KAGE, et al. Docket No. 56937-019

Title: DISK PLAYER Serial/Reg./Patent No. 09/719,226

Date Sent: 8/27/2004 ☒ Hand Carried ☐ Fax ☐ Electronic ☐ Cert. of Mailing ☐ First Class Mail ☐ Express Mail No. \_\_\_\_\_

☒ Transmittal Letter

New Patent App ☐ Utility ☐ Design ☐ Cont. ☐ CIP ☐ Div. ☐ PCT ☐ RCE ☐ Prov

☐ Other: \_\_\_\_\_ ☐ Letter submitting \_\_\_\_\_ pages of drawings

\_\_\_\_\_ pages of Specification ☐ Req. for Approval of Drawing Amendments

\_\_\_\_\_ pages of Claims ☐ Req. for Oral Hearing

\_\_\_\_\_ pages of Abstract ☐ Not. of Appeal ☐ Appeal Brief ☐ Reply Brief

\_\_\_\_\_ pages of Formal/Informal Drawings ☐ Rule 312 Amendment/Letter

☐ Small Entity ☐ Large Entity ☒ Req. for Acknowledgement of Cited Art

☐ Declaration/Power of Attorney ☐ Issue Fee

☐ Recordation of Assignment/Security Agreement ☐ Publication Fee

☐ Information Disclosure Statement ☐ Req. for Certificate of Correction

\_\_\_\_\_ Form PTO 1449 ☐ Maintenance Fee for \_\_\_\_\_ years after grant

\_\_\_\_\_ copies of cited references ☐ Fee Address Indication Form

☐ Preliminary Amendment ☐ Terminal Disclaimer

☐ Response to Missing Parts Notice ☐ Petition to Commissioner

☐ Resp. to Notice to Correct App. Papers ☐ Status Inquiry

☐ Certified Copy of Priority Doc. ☐ Other \_\_\_\_\_

☐ Claim for Convention Priority

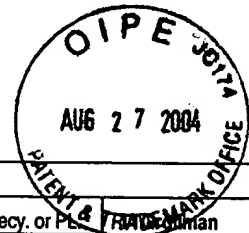
☐ Response/Amendment to Office Action of \_\_\_\_\_

☐ Request for \_\_\_\_\_ month Extension of Time

Check for \$ \_\_\_\_\_ ☒ Charge Deposit Acct. 500417\$ 0 Atty Init. RMF Tkpr. # 5328 Secy. or PL TRADESMAN

CMS Descrip.: \_\_\_\_\_

THE PATENT AND TRADEMARK OFFICE DATE STAMPED HEREON IS ACKNOWLEDGEMENT THAT THE ITEMS, CHECKED ABOVE, WERE RECEIVED BY THE PTO ON THE DATE STAMPED.



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